Part 5 Access to Information Procedure Rules

Wiltshire Council believes in public access to information in order to ensure that members of the public can exercise their rights to have a say about decisions and can understand what decisions have been taken and why. Although some information has to remain private, for example to respect personal privacy, commercial confidentiality or for legal reasons, the Council has adopted a presumption of openness that information will be available unless there is a substantial lawful reason why not.

This Part 5 reflects the current statutory requirements set out in Section 100 Local Government Act 1972 and the Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012. These rules apply except where proceedings of the body concerned are governed by other specific legislation.

Definitions

Cabinet	means Cabinet or a committee of Cabinet.
Full Council	means Full Council or a committee of Full Council, including sub- committees.
Offices	means the Council's three hub offices at County Hall, Trowbridge, Monkton Park, Chippenham and Bourne Hill, Salisbury.
Private Meeting	means a meeting, or part of a meeting during which the public are excluded by virtue of paragraphs 15 or 17 below.
Proper Officer	means the Associate Director, Corporate Function and Procurement, or such other officer as designated from time to time by the Head of Paid Service.

Scope

These rules apply to all meetings of the Full Council or Cabinet and its standing-committees, for example, overviewCommittees, including, Overview and scrutiny-committees, area committees, area boardsScrutiny Committee, Area Planning Committees, Area Boards, the Standards Committee, and public meetings of the Cabinet (together called meetings).

Additional rights to information

These rules do not affect any more specific rights to information contained elsewhere in this Constitution or the law.

Rights to attend meetings

 Members of the public may attend all meetings subject only to the exceptions in these rules.

Notice of **public** meetings

The Council will give at least five clear working days' notice of any public meeting by posting details of the meeting at its Offices reception in County Hall, Trowbridge,
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Comment [a1]: Regulation 2 and 4(2)

Wiltshire and on its website, or if a meeting is convened at less than five <u>clear</u> working days' notice, as soon as it is convened. Where a meeting is held at a location other than County Hall, a notice of the meeting will also be posted at that venuel.

Comment [M2]: Deleted as not required under legislation.

Notice of private meetings

Comment [a3]: Regulation 5

- 5. The Council will give at least 28 clear calendar days' notice of its intention to hold a meeting in private by posting a notice at its Offices and on its website. The notice must include a statement of the reasons for the meeting to be held in private.
- At least 5 clear working days before a private meeting the Council will post a further notice of its intention to hold a meeting in private at its Offices and on its website. The notice must include a statement of the reasons for the meeting to be held in private and details of any representations received about why the meeting should be open to the public and a statement of its responses to any such representations.
- 7. Where a meeting to be held in private is convened at less than 28 clear calendar days' notice the meeting may only be held in private with the agreement of:
 - the chairman of the Overview and Scrutiny Management Committee; or
 - if there is no such person or the chairman of the Overview and Scrutiny
 Management Committee is unable to act, the chairman of Full Council; or
 - where there is no chairman of either the Overview and Scrutiny Management Committee or of Full Council, the vice-chairman of Full Council.

As soon as reasonably practicable after agreement has been given under this paragraph, the Council must post at its Offices and on its website a notice setting out the reasons why the meeting is urgent and cannot be reasonable deferred.

(Local Government Act 1972 Section

100A(6))Access to agenda and reports before the a public meeting

Comment [a4]: Regulation 7

- 8. The Council will make copies of the agenda and reports open to the public available for inspection at the-designated-oits-offices and on its website at least five clear working days before the public meeting. If an item is added to the agenda later, the revised agenda (where reports are prepared after the summons has been sent out, the designated oold open of the public assoon as the report is completed and sent to councillors) will be open to inspection from the time the item was added to the agenda. Where reports are prepared after the summons has been sent out, the Proper Officer shall make each such report available to the public as soon as the report is completed and sent to councillors.
- 9. If the Proper Officer thinks fit, the Council may exclude access by the public to reports which in his or her opinion relate to items during which, in accordance with these rules, the meeting is likely not to be open to the public. Such reports will be marked "not for publication" (Local Government Act 1972 Section 100B(3))and state that they contain confidential or exempt information as defined in paragraphs 16 or 18 below.

Supply of copies

- 10. The council will supply copies of:
 - · any agenda and reports which are open to public inspection
 - any further statements or particulars necessary to indicate the nature of the items in the agenda and
 - if the <u>Proper Officer Director of Resources</u>thinks fit, copies of any other

Comment [M5]: Regulation 7

Comment [a6]: Regulation 7(5). Replaces previous paragraph 14

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documents supplied to councillors in connection with an item

to any person on payment of a charge for postage and any other costs and make a reasonable number of copies available at the public meeting (except in relation to any part of the meeting not open to the public).

Comment [a7]: Regulation 7(6)

Access to minutes etc. after the meeting

- The Council will make available copies of the following for six years after a meeting:
 - the minutes of the meeting or records of decisions taken, together with reasons, for all meetings of the Cabinet, excluding any part of the minutes of proceedings when the meeting was not open to the public or which disclose exempt or confidential information
 - a summary of any proceedings not open to the public where the minutes open to inspection would not provide a reasonably fair and coherent record
 - the agenda for the meeting and
 - reports relating to items when the meeting was open to the public. (Local

Background papers

- The relevant Director will set out in every report a list of those documents (called background papers) relating to the subject matter of the report which in his/her opinion:
 - disclose any facts or matters on which the report or an important part of the report is based and
 - which have been relied on to a material extent in preparing the report
 - but does not include published works or those which disclose exempt or confidential information (as defined in paragraphs 11, 12 and 13 16 and 18) and in respect of cabinet reports, the advice of a political assistant.

Public inspection of background papers

The Council will make available for public inspection at its Offices and on its website 13. for four-six years after the date of the meeting one copy of each of the documents on the list of background papers. (Local Government Act 1972 Section 100D(2))

Summary of public's rights to attend meetings

A written summary of the public's rights to attend meetings and to inspect and copy documents must be kept at and available to the public at County Hall the Offices.

Exclusion of access by the public to meetings

Confidential information – requirement to exclude public

- The public shall be excluded from meetings during an item of business whenever it is likely in view of the nature of the business to be transacted or the nature of the proceedings that confidential information would be disclosed.
- 16. Confidential information means information given to the Council by a government department on terms which forbid its public disclosure or information which cannot be publicly disclosed by court order or under any enactment.

(Local Government Act

1972 Section 100A(2))

Exempt information – discretion to exclude public

The public may be excluded from meetings during an item of business whenever it is likely in view of the nature of the business to be transacted or the nature of the

Comment [M8]: Regulation 15

consistency with para 11.

Comment [a9]: 100D (2) LGA 1972 requires 4 years but changed to 6 years for

Comment [M10]: Regulation 2

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proceedings that exempt information would be disclosed.

- Comment [a11]: S100A (4) LGA 1972
- 18. Exempt information means information falling within the following seven categories (subject to any condition):
 - 18.1 information relating to any individual
 - 18.2 information which is likely to reveal the identity of an individual
 - 18.3 information relating to the financial or business affairs of any particular person (including the authority holding that information)
 - 18.4 information relating to any consultations or negotiations, or contemplated consultations or negotiations, in connection with any labour relations matter arising between the authority or a Minister of the Crown and employees of, or office holders under, the authority
 - 18.5 information in respect of which a claim to legal professional privilege could be maintained in legal proceedings
 - 18.6 information which reveals that the authority proposes:
 - (a) to give under any enactment a notice by virtue of which requirements are imposed on a person, or
 - (b) to make an order or direction under any enactment.
 - 18.7 information relating to any action taken or to be taken in connection with the prevention, investigation or prosecution of crime (paragraphs 13.1—13.7—Local Government Act 1972 Schedule 12A)
 - (a) information which is subject to any obligation of confidentiality
 - (b) information which relates in any way to matters concerning national security
 - (c) information presented to the Standards Committee, or to a sub-committee of the Standards Committee, set up to consider any matter under Regulations 13 or 16 to 20 of the Standards Committee (England) Regulations 2008, or referred under Section 58 (1) (c) of the Local Government Act 2000
- (i)19. Information which falls into the above-paragraphs 18.1 to 18.7 above that is not prevented from being exempt by virtue of the conditions shown is exempt information only exempt if and so long as in all the circumstances of the case, the public interest in maintaining the exemption outweighs the public interest in disclosing the information.
- (ii)20. Information is not exempt information if it relates to proposed development for which the local planning authority can grant itself planning permission pursuant to Regulation 3 of the Town and Country Planning General Regulations 1992.
- (iii)21. Where the meeting will determine any person's civil rights or obligations, or adversely affect their possessions, Article 6 of the Human Rights Act 1998 establishes a presumption that the meeting will be held in public unless a private hearing is necessary for one of the reasons specified in Article 6.

Exclusion of access by the public to reports

14. If the director of resources thinks fit, the Council may exclude access by the public toreports which in his or her opinion relate to items during which, in accordance with these rules,
the meeting is likely not to be open to the public. Such reports will be marked "not forpublication" together with the category of information likely to be disclosed. (Local Government
Act 1972 Section 100B(2) and (5))

Application of rules to the Cabinet

49.22. Paragraphs 241 - 552 apply to the Cabinet. If the Cabinet meets to take a key decision it must also comply with paragraphs 1 – 18 unless paragraphs 25, 26 and 27 (general exception) or paragraph 28 (special urgency) apply. A key decision is defined in Part 1 of this Constitution.

Comment [a12]: Amended to reflect changes to Schedule 12A Local Government Act 1972

Comment [a13]: Replaced by new paragraph 9.

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230. If the Cabinet or its Committees meets to discuss a key decision to be taken collectively, with an officer other than a political assistant present, within 28 clear calendar days of the date according to the forward plan by which it is to be decided, then it must also comply with paragraphs 1 – 18 unless paragraphs 285, 296 and 3027 (general exceptions) or paragraph 3128 (special urgency) apply. This requirement does not include meetings, whose sole purpose is for officers to brief councillors / co-opted members.

Procedure before taking key decisions

- 241. Subject to paragraphs 285, 296 and 3027 (general exception) and paragraph 3128 (special urgency), a key decision may not be taken unless:
 - a notice (called here a forward plan) has been published in connection with the matter in question and made available by the public at its Othe Council's effices and on its website;
 - at least 4428 clear working calendar days have elapsed since the publication of the forward plan; and
 - where the decision is to be taken at a meeting of the Cabinet or its Committees, notice of the meeting has been given in accordance with paragraph 4 (notice of public meetings).

The forward plan

- 2<u>5</u>2. Forward plans will be prepared by the Leader to cover a period of four months, beginning with the first day of any month. They will be prepared on a monthly basis and subsequent plans will cover a period beginning with the first day of the second month covered in the preceding plan.
- 263. The forward plan will contain matters which the Leader has reason to believe will be subject of a key decision (as defined in Part 1 of this Constitution) to be taken by the Cabinet, a Committee of the Cabinet, officers area committees or under joint arrangements in the course of the discharge of a cabinet function during the period covered by the plan. It will describe the following particulars in so far as the information is available or might reasonably be obtained:
 - the matter in respect of which a decision is to be made;
 - where the decision taker is an individual, his/her name and title, if any and where the decision taker is a body, its name and details of membership;
 - the date on which, or the period within which, the decision will be taken;
 - the identity of the principal groups whom the decision taker proposes to consult before taking the decision;
 - the means by which any such consultation is proposed to be undertaken;
 - the steps any person might take who wishes to make representations to the
 cabinet or decision taker about the matter in respect of which the decision is to
 be made, and the date by which those steps must be taken-and;
 - a list of the documents submitted to the decision taker for consideration in relation to the matter;
 - the address from which, subject to any prohibition or restriction on their disclosure, copies of, or extracts from, any document listed in the forward plan is available;

Comment [M14]: Regulation 9(2)

Comment [M15]: Regulation 9(2)

Comment [I16]: Definition in accordance with Regulation 8

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- that other documents relevant to the matter may be published at least 14 days before the start of the period covered. The director submitted to the decision taker; and
- the procedure for requesting details of those documents (if any) as they become available.

Comment [M17]: Regulation 9(1)

- 20. The director of resources will publish, once a year, a notice in at least one newspaper circulating in the area, stating:
 - that key decisions are to be taken on behalf of the council
 - that a forward plan containing particulars of the matters on which decisions are to be taken will be prepared on a monthly basis
 - that the plan will contain details of the key decisions to be made for the fourmonth period following its publication
 - that each plan will be available for inspection at reasonable hours free of charge at the council's head office
 - that each plan will contain a list of the documents submitted to the decisiontakers for consideration in relation to the key decisions on the plan
 - the address from which, subject to any prohibition or restriction on their disclosure, copies of, or extracts from, any document listed in the forward planis available
 - that other documents may be submitted to decision takers
 - the procedure for requesting details of documents (if any) as they become available and

the dates on each month in the following year on which each forward plan will be

- Exempt information need not be included in a forward plan and confidentialinformation cannot be included.
- Where in relation to any matter where the public may be excluded from a public meeting under paragraphs 15 or 1711, 12 and 13, or documents relating to the decision need not be disclosed to the public, the forward plan must contain the particulars of the matter, but may not contain any confidential information, exempt information or particulars of the advice of a political adviser or assistant.

General exception

- 285. If a matter which is likely to be a key decision has not been included in the forward plan, then subject to paragraph 3128 (special urgency), the decision may still be taken if:
 - the decision must be taken by such a date that it is impracticable to defer the
 decision until it has been included in the next forward plan and until the start of
 the first month to which the next forward plan relates;
 - the <u>director of resourcesProper Officer</u> has informed the chair of the <u>relevant</u>
 Overview and Scrutiny <u>Management</u> Committee, or if there is no such person,
 each member of that committee in writing, by notice, of the matter to which the
 decision is to be made;
 - the <u>Proper Officer director of resources</u>-has made copies of that notice available to the public at the <u>Offices of the Council and on the Council it's</u> website; and
 - at least five clear <u>working</u> days have elapsed since the <u>Proper Officer director</u>
 of <u>resources</u>-complied with the requirements of paragraph 285, bullet point

Comment [M18]: Old paragraph 20 deleted as was required under Regulation 12 Local Authorities (Executive Arrangements) (Access to Information)(England) Regulations 2000. Now repealed.

Comment [M19]: Regulation 10

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three.

296. A soon as reasonably practicable after the Proper Officer has complied with the above they must make available at the offices of the Council Offices and on the Council's website a notice setting out the reasons why compliance with paragraph 2619 above is impracticable.

3027. Where such a decision is taken collectively, it must be taken in public.

Special urgency

- 3128. If by virtue of the date by which a decision must be taken paragraph 285 (general exception) cannot be followed; then the decision can only be taken if the decision taker (if an individual) or the chair of the body making the decision, obtains the agreement of the chair of the relevant overview and scrutiny committee that the taking of the decision cannot be reasonably deferred. If there is no chair of a relevant overview and scrutiny committee, or if the chair of each relevant overview and scrutiny committee is unable to act, then the agreement of the Chairman of the Council, or in his/her absence the Vice-Chairman will suffice.
 - the chairman of the Overview and Scrutiny Management Committee; or
 - if there is no such person, or if the chairman of the Overview and Scrutiny
 Management Committee is unable to act, the chairman of Full Council; or
 - where there is no chairman of either the Overview and Scrutiny Management Committee or of Full Council, the vice-chairman of Full Council.

that the taking of the decision is urgent and cannot be reasonably deferred.

Comment [M21]: Regulation 11

Reports to Council

- <u>3229.</u> If <u>thean</u> Overview and Scrutiny <u>Management</u> Committee thinks that a key decision has been taken which was not:
 - included in the forward plan; or
 - the subject of the general exception procedure under paragraph 285; or
 - the subject of an agreement with the relevant overview and scrutiny committee chair, or the Chairman/Vice Chairman of the Council under paragraph 3128 (special urgency).

<u>t</u>The Overview and Scrutiny <u>Management</u> Committee may require the Cabinet to submit a report to Full Council within such reasonable time as the Overview and Scrutiny Committee specifies.

The power to require a report rests with the-ancorate Overview and Scrutiny Management Committee, but is also delegated to the director-of-resources Proper Officer, who shall require such a report on behalf of the Committee when so requested by (the Chairman or any five members of the Committee). Alternatively the requirement may be raised by resolution passed at a meeting of the Overview and Scrutiny Management Committee.

Cabinet's report to Full Council

330. The Cabinet will prepare a report for submission to the next available meeting of Full Council. However, if the next meeting of the Council is within seven days of receipt of the written notice, or the resolution of thean Overview and Scrutiny Management Committee, then the report may be submitted to the meeting after that. The report to Full Council will set out particulars of the decision, the individual or body making the decision, and if the Leader is of the opinion that it was not a key decision, the reasons for that opinion.

Quarterly rReports on special urgency decisions

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Comment [M20]: Regulation 10.

Comment [M22]: Regulation 11

344. The Leader shall report any decision taken under paragraph 3128 (special urgency) to the next available meeting of Full Council. In any event the Leader will submit quarterly an annual reports to Full Council on the Cabinet decisions taken under paragraph 3128 (special urgency) in the preceding three-twelve months. The report will include the number of decisions so taken and a summary of the matters in respect of which those decisions were taken.

Record of decisions

- 352. After any meeting of the cabinet Cabinet or any of its committees Committees, whether held in public or private, the Proper Officer or their nominee director of resources or, where no officer was present, the person presiding at the meeting, will produce a record of every decision taken at that meeting as soon as practicable. The record will include a statement of the reasons for each decision and any alternative options considered and rejected at that meeting.:
 - a) A record of the decision including the date it was made;
 - b) A record of the reasons for the decision;
 - c) Details of any alternative options considered and rejected by the decision taker:
 - d) A record of any conflict of interest relating to the matter decided which is declared by any member of the decision taker; and
 - e) In respect of any declared conflict of interest, a note of any dispensation granted by the Head of Paid Service Standards Dispensation Sub-Committee.

In relation to decisions made by officers, the officer will produce a record of their decision in accordance with Part 3 of this Constitution as soon as reasonably practicable after the decision is made.

Cabinet meetings relating to matters which are not key decisions

363. The Cabinet will decide whether meetings relating to matters which are not key decisions will be held in public or private.

Notice of private meetings of the Cabinet

374. Members of the Cabinet or its committees will be entitled to receive five clear working days' notice of a meeting to which they are summoned, unless the meeting is convened at shorter notice as a matter of urgency.

Attendance at private meetings of the Cabinet

- 385. All members of the Cabinet will be served notice of all private meetings of Committees of the Cabinet, whether or not they are Members of that Committee.
- 396. All members of the Cabinet are entitled to attend a private meeting of any Committee of the Cabinet.
- <u>40</u>37. Notice of private meetings of the Cabinet will be served on the chairs of the Overview and Scrutiny Committee and sub-committees, at the same time as notice is served on members of the Cabinet. Where the Overview and Scrutiny Committee does not have a chair, the notice will be served on all the members of that Committee.
- <u>4138</u>. Where a matter under consideration at a private meeting of the Cabinet, is within the remit of the Overview and Scrutiny Committee/sub- committee, the chair of that Committee or in his/her absence the vice chair may attend that private meeting with the consent of the person presiding, and speak.
- <u>42</u>39. The <u>Head of Paid serviceCorporate Directors</u>, the Chief Finance Officer and the Monitoring Officer and their nominees are entitled to attend any meeting of the Cabinet. The Cabinet may not meet unless the <u>director of resourcesProper Officer</u> has been given reasonable notice that a meeting is to take place.
- 430. A private meeting may only take place in the presence of the <u>Proper Officer directorof resources</u> or his/her nominee who are responsible for recording and publicising the

Comment [M23]: Regulation 12 and 13

decisions.

444. The provisions of paragraph 352 (record of decisions) will apply where meetings of the Cabinet are held in private.

Decisions made by individual members of the Cabinet

Reports intended to be taken into account

452. Where an individual member of the Cabinet receives a report which he/she intends to take into account in making any key decision, then he/she will not make the decision until at least five clear working days after receipt of that report.

Provision of copies of reports to Overview and Scrutiny Committees

463. On giving of such a report to an individual decision maker, the person who prepared the report will give a copy of it to the chair of an Overview and Scrutiny Committee as soon as reasonably practicable, and make it publicly available at the same time.

Record of individual decision

- 474. As soon as reasonably practicable after <u>an executive</u> decision has been taken by an individual member of the cabinet or a key decision has been taken by an officer, he/she will prepare, or instruct the director of resources to prepare, aCabinet, a <u>written</u> record of the decision, a statement <u>must be produced</u> which must include the following:
 - a) record of the decision including the date it was made;
 - b) A record of the reasons for it and the decision;
 - a)c) Details of any alternative options considered and rejected by the member;
 - d) A record of any conflict of interest relating to the matter decided which is declared by any member of the Cabinet who is consulted by the member which relates to the decision; and
 - e) In respect of any declared conflict of interest, a note of any dispensation granted by the Head of Paid Service Standards Dispensation Sub-Committee.
- 485. The provisions of paragraphs 11, 12 and 13 (access to minutes and background papers after meetings) will also apply to the making of decisions by individual members of the Cabinet. This does not require the disclosure of exempt or confidential information or advice from a political or mayor's assistant.

Overview and scrutiny committees' access to documents

Rights to copies

- 4<u>9</u>6. Subject to paragraph <u>5148</u> (limit on rights) below, any member of an Overview and Scrutiny Committee (including its sub-committees) is entitled to copies of any document which is in the possession or control of the <u>Cabinet-Leader</u> and which contains material relating to:
 - any business transacted at a public or private meeting of the Cabinet; or its committees or
 - any decision taken by an individual member of the Cabinet; or-
 - any executive decisions that have been made by an officer in accordance with Part
 3 of this Constitution.

Where a member of an Overview and Scrutiny Committee (including its sub-committees) requests a document which falls within the above the CabinetLeader must

Comment [M24]: Regulation 13

Comment [M25]: Regulation 13

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provide that document as soon as reasonably practicable and in any case no later than 10 clear working days after the CabinetLeader receives the request.

Comment [M26]: Regulation 17

<u>50</u>47. <u>Subject to paragraph 5148</u>, the chair of the Overview and Scrutiny Committee will be entitled to foresight of papers in relation to private decisions of the Cabinet before the decision is made.

Limit on rights

<u>5148</u>. A member of the Overview and Scrutiny Committee will not be entitled to:

- any document that is in draft form;
- any document or part of <u>it</u> that contains exempt or confidential information, unless that information is relevant to an action or decision they are reviewing or scrutinising or intend to scrutinise or <u>any review contained in any programme of</u> work of the Overview and Scrutiny Committees; or
- the advice of a political adviser.

Where the CabinetLeader determines that a member of the Overview and Scrutiny Committee is not entitled to a copy of a document, or part of any such document, for the reasons set out above ithe Leader must provide the Overview and Scrutiny Committee with a written statement setting out its reasons for that decision.

Comment [M27]: Regulation 17

Comment [M28]: Regulation 17

Additional rights of access for councillors

- 5249. All councillors will be entitled to inspect any Any document which is in the possession or under the control of the Cabinet Leader or its Committees and contains material relating to:
 - any business previouslyto be transacted at a private public meeting;
 - any business transacted at a private meeting;
 - any decision made by an individual member; or
 - an executive decision made by an officer

must be available for inspection by any membercouncillor unless:

- it contains exempt information falling within paragraphs 183.1, 183.2, 183.3 (but only to the extent that the information relates to any terms proposed or to be proposed by or to the ecouncil in the course of negotiations for a contract), 183.4, 183.5, and 183.7 of the categories of exempt information; or
- · it contains the advice of a political adviser.
- 530. In relation to public meetings, where a document is required to be available for inspection it must be available for at least 5 clear working days before the meeting except where the meeting is convened at shorter notice or an item is added to an agenda at shorter notice in which case the document must be available for inspection when the meeting is convened or the item is added to the agenda.
- 544. In relation to private meetings, decisions made by an individual member or executive decisions made by an officer, where a document is required to be available for inspection it must be available within 24 hours of the meeting concluding or where an executive decision is made by an individual member or an officer within 24 hours of the decision being made.

Comment [M29]: Regulation 16

Material relating to key decisions

552. All councillors will be entitled to inspect any document (except those available only in draft form) in the possession or under the control of the <u>Cabinet Leader</u> which relates

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to any key decision unless $\underline{\text{the exceptions in }}$ paragraph $\underline{\text{52}}\text{49}$ above apply.

Nature of rights

563. These rights of councillors are additional to any other right he/she may have.

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